

***ELECTRONIC FILING***

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appl. No. : 10/031,184 Confirmation No. 2542  
Applicant : Edward E. Beeles, et al.  
Filed : January 16, 2002  
Title : SWAGE COLLAR WITH INTERNAL SEALING INSERT  
Art Unit : 3677  
Examiner : Flemming Saether  
Docket No. : HISHE-56781  
Customer No. : 24201

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR CORRECTED FILING RECEIPT**

Dear Sir:

A Notice of Allowance in this application was mailed on May 21, 2009.

Attorneys for Applicants request the correction of the listing of Applicants on the Filing Receipt in the above-identified application. There is incorrect information on the Filing Receipt pertaining to the list of Applicants.

Please correct the list of Applicants on the Filing Receipt as follows:

Edward E. Beeles, Hermosa Beach, CA;  
David Hocking, Signal Hill, CA  
Julie R. Jones, Bethalto, IL

Stuart R. Seley, Kirkwood, MO  
John E. Inman, Frontenac, MO

A copy of the Filing Receipt and the Declaration as filed are enclosed. The Declaration was filed with two pages labeled "Page 5 of 5". Please see the second page labeled Page 5 of 5 with John E. Inman's signature dated on 21 Dec 2001.

There should be no fee required because the error was made on the part of the U.S. Patent Office. However, if a fee is in fact due, the Commissioner is authorized to charge our Credit Account No. 06-2425.

Respectfully submitted,

FULWIDER PATTON LLP

Dated: June 26, 2009

By: / james w. paul /.  
James W. Paul  
Reg. No. 29,967

JWP/DGP/mlr  
Enclosures

Howard Hughes Center  
6060 Center Drive, Tenth Floor  
Los Angeles, CA 90045  
Telephone: (310) 824-5555  
Facsimile: (310) 824-9696  
Customer No. 24201

347931.1



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/031,184	01/16/2002	3626	1244	HISHE-56781	7	35	4

CONFIRMATION NO. 2542

## FILING RECEIPT



\*OC00000007689244\*

James W Paul  
Fulwider Patton Lee & Utecht  
Howard Hughes Center  
6060 Center Drive Tenth Floor  
Los Angeles, CA 90045

RECEIVED  
BY DOCKET DEPT.  
APR 01 2002  
FULWIDER PATTON LEE & UTECHT, LLP  
LOS ANGELES

Date Mailed: 03/26/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Edward E Beeles, Hermosa Beach, CA;  
David Hocking, Signal Hill, CA;  
Julie R. Jones, Bethalto, IL;  
Stuart R. Seley, Kirkwood, MO;  
\* John E. Inman, Frontenac, MO

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/US01/19983 06/22/2001  
WHICH CLAIMS BENEFIT OF 60/213,769 06/23/2000

## Foreign Applications

Projected Publication Date: To Be Determined - pending completion of Security Review

Non-Publication Request: No

Early Publication Request: No

## Title

Swage collar with internal sealing insert

**Preliminary Class**

277

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

DECLARATION AND POWER OF ATTORNEY  
FOR PATENT APPLICATION

As the below named inventors, we hereby declare that:

Our residences, post office addresses and citizenship are as stated below next to our names.

We believe we are the original and first inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled SWAGE COLLAR WITH INTERNAL SEALING INSERT, the specification of which (check one)

  X   is attached hereto  
           was filed on                                   
Application Serial No.                                   
and was amended on (or amended through                                   
(if applicable)

We hereby state that we have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above.

This application in part discloses and claims subject matter disclosed in our earlier-filed provisional application, Serial No. 60/213,769 filed June 23, 2000.

We acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Sec. 1.56(a).

We hereby claim foreign priority benefits under Title 35, United States Code, Sec. 119 (a)-(d) of any foreign application(s) for patent or inventor's certificate listed below

and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)			Priority Claimed	
<u>None</u> Number	<u>Country</u>	<u>Day/Month/Year filed</u>	<u>Yes</u>	<u>No</u>

We hereby claim the benefit under 35, United States Code, Sec. 119 (e) of any United States provision application(s) listed below

<u>60/213,769</u>	<u>23 June 2000</u>
Appln. Serial No.	Filing Date

We hereby claim the benefit under Title 35, United States Code, Sec. 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Sec. 112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Sec. 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

<u>PCT/US01/19983</u>	<u>22 June 2001</u>	<u>Pending</u>
Appln. Serial No.	Filing Date	Status
(patented, pending, abandoned)		

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of

Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

We hereby appoint the following attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

18 RICHARD A. BARDIN, Reg. No. ~~20,365~~; CRAIG B. BAILEY, Reg. No. ~~28,786~~; RICHARD B. CATES, Reg. No. 36,100, I. MORLEY DRUCKER, Reg. No. ~~19,751~~; PAUL Y. FENG, Reg. No. ~~35,510~~; JOHN K. FITZGERALD, Reg. No. ~~38,881~~, JOHN V. HANLEY, Reg. No. ~~38,171~~; JAMES JUO, Reg. No. ~~36,177~~; GILBERT G. KOVELMAN, Reg. No. ~~19,552~~; THOMAS H. MAJCHER, Reg. No. ~~31,119~~, JOHN S. NAGY, Reg. No. ~~30,664~~; DAVID G. PARKHURST, Reg. No. ~~29,422~~; JAMES W. PAUL, Reg. No. ~~29,967~~; RONALD E. PEREZ, Reg. No. ~~36,891~~; ELLSWORTH R. ROSTON, Reg. No. ~~16,310~~; THOMAS A. RUNK, Reg. No. ~~30,679~~; DAVID S. SARISKY, Reg. No. ~~41,288~~; and HOWARD N. SOMMERS, Reg. No. ~~24,138~~.

Direct all telephone calls to James W. Paul, Esq., at the following telephone No. (310) 824-5555.

Address all correspondence to:

James W. Paul, Esq.  
FULWIDER PATTON LEE & UTECHT, LLP  
Howard Hughes Center  
6060 Center Drive  
Tenth Floor  
Los Angeles, California 90045

Full name of first inventor: EDWARD E BEELES

Inventor's signature: *Edward Beeles*

Date: 9/26/01, 2001

Residence: Hermosa Beach, California

Citizenship: U.S.A.

Post Office Address: 553 24<sup>th</sup> Place

Hermosa Beach, CA 90254

Full name of second inventor: DAVID HOCKING

Inventor's signature: *David Hocking*

Date: 9/26, 2001

Residence: Signal Hill, California

Citizenship: U.S.A.

Post Office Address: 1963 St. Louis Avenue

Signal Hill, CA 90806

Full name of third inventor: JULIE R. JONES

Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_, 2001

Residence: Bethalto, Illinois

Citizenship: U.S.A.

Post Office Address: 186 Heatherland Drive

Bethalto, Illinois 62010



Full name of first inventor: EDWARD E BEELES

Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_, 2001

Residence: Hermosa Beach, California

Citizenship: U.S.A.

Post Office Address: 553 24<sup>th</sup> Place

Hermosa Beach, CA 90254

Full name of second inventor: DAVID HOCKING

Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_, 2001

Residence: Signal Hill, California

Citizenship: U.S.A.

Post Office Address: 1963 St. Louis Avenue

Signal Hill, CA 90806

*30*

Full name of third inventor: JULIE R. JONES

Inventor's signature: Julie R Jones

Date: 15 OCTOBER, 2001

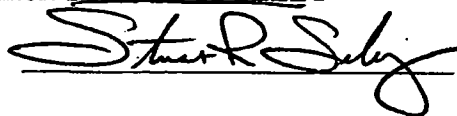
Residence: Bethalto, Illinois *IL*

Citizenship: U.S.A.

Post Office Address: 186 Heatherland Drive

Bethalto, Illinois 62010

4-00  
Full name of fourth inventor: STUART R. SELEY

Inventor's signature: 

Date: 12 October, 2001

Residence: Kirkwood Missouri

Citizenship: U.S.A.

Post Office Address: 616 Linwood Boulevard

Kirkwood, Missouri 63122

Full name of third inventor: JOHN E. INMAN

Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_, 2001

Residence: Frontenac, Missouri

Citizenship: U.S.A.

Post Office Address: 10469 Arthur Place


Frontenac, Missouri 63131

DEC 19 '01 16:23 FR INTELLECTUAL PROPERTY 314 232 5680 TO 801161796473919 P.02

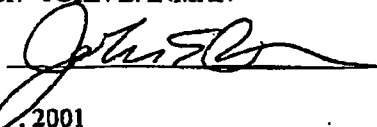
Docket No. HISHE:56781

Page 5 of 5

Full name of fourth inventor: STUART R. SELEY

Inventor's signature: Date: 12 October, 2001Residence: Kirkwood MissouriCitizenship: U.S.A.Post Office Address: 616 Linwood BoulevardKirkwood, Missouri 63122

Full name of third inventor: JOHN E. INMAN

Inventor's signature: Date: 21 Dec 2001, 2001Residence: Frontenac, MissouriCitizenship: U.S.A.Post Office Address: 10469 Arthur PlaceFrontenac, Missouri 63131

216584.1

\*\* TOTAL PAGE.02 \*\*